

# 05 MINORITY COMPLIANCE FORMS

## SCHEDULE A

### Statement of Prime Submitter Regarding Its MBE/WBE Utilization Plan

#### TO BE COMPLETED BY SUBMITTER ONLY

Submitter: SMNG A Ltd.

Project: Riis (Jacob) Park (123) Fieldhouse Restoration  
P-16015-108

Is the submitter a certified MBE/WBE?

MBE: ☐ Yes ☒ No WBE: ☐ Yes ☒ No

If yes, attach all current Letters of Certification.

#### NOTE:

CERTIFICATION OF THE SUBMITTER AS AN **MBE** SATISFIES ONLY THE **MBE** GOAL; THE **WBE** GOAL MUST STILL BE MET. CERTIFICATION OF THE SUBMITTER AS A **WBE** SATISFIES ONLY THE **WBE** GOAL; THE **MBE** GOAL MUST STILL BE MET. CERTIFICATION OF THE SUBMITTER AS BOTH **MBE** AND **WBE** MAY SATISFY **ONE GOAL** ONLY.

The submitter intends to perform work in connection with this project as a:

#### GENDER:

- ☒ Male  
☐ Female

#### RACE/ETHNICITY:

- ☐ Black/African American  
☐ Hispanic American  
☐ Asian American  
☒ White American  
☐ Other \_\_\_\_\_

#### TYPE OF FIRM:

- ☐ Partnership  
☐ Sole Proprietorship  
☒ Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

All MBE/WBE firms included in the following plan must be certified as such by a public or private organization such as the City of Chicago, Chicago Minority Supplier Development Council (CMSDC), Women Business Development Center (WBDC), and the Small Business Administration.

#### I. Participation of MBE/WBE Firms

In determining the manner of MBE/WBE participation in the performance of this contract, the submitter shall consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services, either directly or indirectly.

- A. If submitter is a joint venturer and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification and a copy of the Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the joint venture.
- B. Proposing MBE/WBE subcontractors/suppliers/consultants to perform work or supply goods or services not directly related to the performance of this contract is considered to be indirect participation.

#### MBE/WBE Subcontractors/Suppliers/Consultants:

1. Name of MBE/WBE: Forma Architecture

Address: 155 Michigan Ave, Chicago, IL 60601

Contact Person: Luis Bolivar

Phone: (312) 729-5195

E-mail: bolivar@formaarch.com

Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ 198,920

Percent: 25.36 %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

2. Name of MBE/WBE: Stearn-Joglekar Ltd.

# 05 MINORITY COMPLIANCE FORMS

CPD Riis Park (123) Fieldhouse & Spray Feature  
Restoration Design & Construction Svcs-AOR  
Request for Services (RFS)

Address: 223 W Jackson Blvd # 1110, Chicago, IL 60606

Contact Person: Howard Stearn Phone: 312.461.1800 ext 11

E-mail: howard@stearnjoglekar.com Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ 20,000 Percent: 2.55 %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

3. Name of MBE/WBE: Terra Engineering Ltd.

Address: 225 W Ohio St, Chicago, IL 60654

Contact Person: Danielle Kowalowski Phone: 312.467.0123 ext 230

E-mail: dkowalewski@terraengineering.com Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ 38,550 Percent: 4.92 %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

4. Name of MBE/WBE: dbHMS

Address: 303 W Erie St. #510, Chicago, IL 60654

Contact Person: Benjamin Rubach Phone: 312.915.0557

E-mail: brubach@dbhms.com Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ 169,000 Percent: 21.55 %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

5. Name of MBE/WBE: Environmental Design International, Inc. (EDI)

Address: 33 W Monroe St Ste 1825, Chicago, IL 60603

Contact Person: Gary Flentge Phone: 312.345.8679

E-mail: gflentge@envdesigni.com Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ 6,500 Percent: .83 %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

# 05 MINORITY COMPLIANCE FORMS

## II. Summary of MBE/WBE Proposal:

### A. MBE Proposal:

#### 1. MBE Participation:

<u>MBE Firm Name</u>	Dollar Amount of Participation	Percent Amount of Participation	Direct	Indirect
			(check <input checked="" type="checkbox"/> one)	
Forma Architecture	\$ 198,920.00	25.36 %	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Stearn-Joglekar	\$ 20,000.00	2.55 %	<input checked="" type="checkbox"/>	<input type="checkbox"/>
dbHMS	\$ 169,000.00	21.55 %	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
Total MBE Participation:	\$ 387,920.00	49.46 %		

#### 2. WBE Participation:

<u>WBE Firm Name</u>	Dollar Amount of Participation	Percent Amount of Participation		
Terra Engineering (reimbursable included)	\$ 38,550.00	4.92 %	<input checked="" type="checkbox"/>	<input type="checkbox"/>
EDI (reimbursable)	\$ 6,500.00	.83 %	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
Total WBE Participation:	\$ 45,000.00	5.75 %		

The submitter designates the following person as its MBE/WBE Liaison Officer:

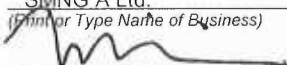
Todd Niemiec, Principal ( 312 )829.3355 ext 231  
 (Name and Title) (Phone Number)  
 tniemiec@smng-arch.com  
 (E-mail address)

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CPD Riis Park (123) Fieldhouse & Spray Feature  
Restoration Design & Construction Svcs-AOR  
Request for Services (RFS)

The Contractor certifies to the best of its knowledge and belief that it, its principals and any subcontractors used in the performance of this contract, meet the Park District requirements and have not violated any City or Sister Agency policy, codes, state, federal, or local laws, rules or regulations and have not been subject to any debarment, suspension or other disciplinary action by any government agency. Additionally, if at any time the contractor becomes aware of such information, it must immediately disclose it to the Park District.

Submitter: SMNG A Ltd.  
*(Print or Type Name of Business)*

Signature:  Date: 1/27/2021  
*(Written Signature of Authorized Officer/Representative)*

Name/Title: Todd Niemiec, Principal  
*(Print or Type Name and Title of Person Signing Statement)*

## NOTE

If submitter is an MBE/WBE joint venture with a non-MBE/WBE firm,  
use the following signature page instead:

End of Schedule A

# 05 MINORITY COMPLIANCE FORMS

## SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant  
MBE/WBE PRIME CONTRACTOR MUST SUBMIT A SCHEDULE B, IF SELF-PERFORMING ANY WORK, TO RECEIVE MBE/WBE CREDIT

Project: Riis (Jacob) Park (123) Fieldhouse Restoration

From: Forma Architecture  
(Name of MBE/WBE Firm)

MBE: ☒ Yes ☐ No  
WBE: ☐ Yes ☒ No

To: SMNG A Ltd. and the Chicago Park District:  
(Name of Prime Contractor-Submitter)

The undersigned intends to perform work in connection with the above projects as a:

GENDER:  
☒ Male  
☐ Female

RACE/ETHNICITY:  
☐ Black/African American  
☒ Hispanic American  
☐ Asian American  
☐ White American  
☐ Other \_\_\_\_\_

TYPE OF FIRM:  
☐ Partnership  
☐ Sole Proprietorship  
☒ Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

**Attach all current certification letters behind Schedule B.**

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:


Associate Architect

The above described performance is offered for the following price and described terms of payment:

\$198,920

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature:   
(Signature of Owner or Authorized Agent of MBE/WBE)

Date: 12/17/20

Name/Title: President  
(Print or Type Name and Title)

Address: 2720 W Cortez Street Chicago, IL 60622

Telephone: 773-697-8968

Fax: \_\_\_\_\_

End of Schedule B

Revised 6/27/19

# 05 MINORITY COMPLIANCE FORMS

CPD Riis Park (123) Fieldhouse & Spray Feature  
Restoration Design & Construction Svcs-AOR  
Request for Services (RFS)



## DEPARTMENT OF PROCUREMENT SERVICES CITY OF CHICAGO

SEP 1 1 2018

Luis Bolivar  
Forma Architecture, Ltd.  
155 North Michigan Ave., Suite 208  
Chicago, IL 60601

Dear Luis Bolivar:

We are pleased to inform you that **Forma Architecture, Ltd.** has been recertified as a **Minority Business Enterprise ("MBE")** by the City of Chicago ("City"). This MBE certification is valid until **08/15/2023**; however, your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five-year period stated above, you must file an **annual No-Change Affidavit**. Your firm's annual No-Change Affidavit is due by **08/15/2019, 08/15/2020, 08/15/2021, and 08/15/2022**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five-year certification will expire on **08/15/2023**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five-year anniversary date. Therefore, you must file for recertification by **06/15/2023**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note — you shall be deemed to have had your certification lapse and will be ineligible to participate as a MBE if you fail to:

121 NORTH LASALLE STREET, ROOM 806, CHICAGO, ILLINOIS 60602

Printed on: 02/28/2019  
05205-03-04-01-03 TO\_PBC\_MFF\_05205-PS3027-001\_DoroESPre-KExpan\_AOR\_20190228

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to

# 05 MINORITY COMPLIANCE FORMS

Forma Architecture, Ltd.

SEP 11 2018

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- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at [chicagoinspectorgeneral.org](http://chicagoinspectorgeneral.org), or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

**NAICS Code(s):**

**541310 Architectural (except landscape) services**

Your firm's participation on City contracts will be credited only toward **Minority Business Enterprise** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority, Women-Owned Business Enterprise, Veteran-Owned Business Enterprise and Business Enterprise Owned or Operated by People with Disabilities (MBE/WBE/VBE/BEPD) Program.

Sincerely,



Rich Butler  
First Deputy Procurement Officer

RB/sl

# 05 MINORITY COMPLIANCE FORMS

CPD Riis Park (123) Fieldhouse & Spray Feature  
Restoration Design & Construction Svcs-AOR  
Request for Services (RFS)

## SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant  
**MBE/WBE PRIME CONTRACTOR MUST SUBMIT A SCHEDULE B, IF SELF-PERFORMING ANY WORK, TO RECEIVE MBE/WBE CREDIT**

Project: Riis (Jacob) Park (123) Fieldhouse Restoration

From: Stearn-Joklegar Ltd.  
(Name of MBE/WBE Firm)

MBE: ☒ Yes ☐ No  
WBE: ☐ Yes ☒ No

To: SMNG A Ltd.

(Name of Prime Contractor-Submitter)

and the Chicago Park District:

The undersigned intends to perform work in connection with the above projects as a:

GENDER:  
☒ Male  
☐ Female

RACE/ETHNICITY:  
☐ Black/African American  
☐ Hispanic American  
☒ Asian American  
☐ White American  
☐ Other \_\_\_\_\_

TYPE OF FIRM:  
☐ Partnership  
☐ Sole Proprietorship  
☒ Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

**Attach all current certification letters behind Schedule B.**

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Structural Engineering

The above described performance is offered for the following price and described terms of payment:

\$20,000

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature: [Signature]  
(Signature of Owner or Authorized Agent of MBE/WBE)

Date: 12/18/2020

Name/Title: HOWARD STEARN  
(Print or Type Name and Title)

VICE PRESIDENT

Address: 223 W JACKSON BLVD

Telephone: 312-461-1800

Fax: 312-461-0700

End of Schedule B

Revised 6/27/19

# 05 MINORITY COMPLIANCE FORMS



NOV. 30 2016

DEPARTMENT OF PROCUREMENT SERVICES

CITY OF CHICAGO

Milind R. Joglekar  
Stearn-Joglekar, Ltd.  
223 W. Jackson Blvd., Suite 1110  
Chicago, IL 60606-6900

Dear Milind R. Joglekar:

We are pleased to inform you that Sunrise **Stearn-Joglekar, Ltd.** has been recertified as a **Minority-Owned Business Enterprise ("MBE")** by the City of Chicago ("City"). This **MBE** certification is valid until **10/1/2021**; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your **Annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an annual No-Change Affidavit. Your firm's **annual No-Change Affidavit** is due by **10/1/2017, 10/1/2018, 10/1/2019, and 10/1/2020**. Please remember, you have an affirmative duty to file your **No-Change Affidavit 60 days** prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on **10/1/2021**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five year anniversary date. Therefore, you must file for recertification by **8/1/2021**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **MBE** if you fail to:

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;

121 NORTH LASALLE STREET, ROOM 806, CHICAGO, ILLINOIS 60602

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CPD Riis Park (123) Fieldhouse & Spray Feature  
Restoration Design & Construction Svcs-AOR  
Request for Services (RFS)

Stearn-Jolekar, Ltd.

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- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at [chicagoinspectorgeneral.org](http://chicagoinspectorgeneral.org), or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

**NAICS Code(s):**

**541310 - Architectural (except landscape) Design Services**

**541330 - Consulting Engineers' Office**

Your firm's participation on City contracts will be credited only toward **Minority-Owned Business Enterprise** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,



Richard Butler  
First Deputy Procurement Officer

RB/do

# 05 MINORITY COMPLIANCE FORMS

## SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant  
MBE/WBE PRIME CONTRACTOR MUST SUBMIT A SCHEDULE B, IF SELF-PERFORMING ANY WORK, TO RECEIVE MBE/WBE CREDIT

Project: Riis (Jacob) Park (123) Fieldhouse Restoration

From: Terra Engineering  
(Name of MBE/WBE Firm)

MBE: ☐ Yes ☒ No  
WBE: ☒ Yes ☐ No

To: SMNG A Ltd. and the Chicago Park District:  
(Name of Prime Contractor-Submitter)

The undersigned intends to perform work in connection with the above projects as a:

GENDER:  
☐ Male  
☒ Female

RACE/ETHNICITY:  
☐ Black/African American  
☐ Hispanic American  
☐ Asian American  
☒ White American  
☐ Other \_\_\_\_\_

TYPE OF FIRM:  
☐ Partnership  
☐ Sole Proprietorship  
☒ Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

Attach all current certification letters behind Schedule B.

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Civil Engineering/Survey/Landscape Architecture

The above described performance is offered for the following price and described terms of payment:

Civil Engineering	\$20,800
Survey	\$ 1,500
Landscape Architecture	\$16,250

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature: Karen Steingraber  
(Signature of Owner or Authorized Agent of MBE/WBE)

Date: 12/18/2020

Name/Title: Karen Steingraber, President  
(Print or Type Name and Title)

Address: 225 W. Ohio St., 4th Floor, Chicago, IL 60654

Telephone: 312.467.0123

Fax: 312.467.0220

End of Schedule B



ILLINOIS

JB Pritzker, Governor

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

Janel L. Forde, Acting Director

May 28, 2020

Certification Term Expires: May 28, 2021

Ms. Karen Steingraber  
Terra Engineering, Ltd.  
225 W. Ohio St., 4th Floor  
Chicago, IL 60654

Re: Women Business Enterprise (WBE)

Dear Karen Steingraber:

Congratulations! After reviewing the information that you supplied, we are pleased to inform you that your firm has been granted certification as a Women Business Enterprise (WBE) under the Business Enterprise Program (BEP) for Minorities, Females, and Persons with Disabilities

This certification is in effect with the State of Illinois until the date specified above. Please note that you have been granted certification under the Recognition Application because you are certified with one of our partner organizations and, as such, must recertify each year.

At least 15 days prior to the anniversary date of your certification, you will be notified by BEP through email to update your certification as a condition of continued certification. It is your responsibility to ensure that the contact email address listed in the system is accurate and up to date and that the email account is checked regularly so that you do not miss any important notifications. In addition, should any changes occur in ownership and/or control of the business, in the business' certification status with the partner organization, or other changes affecting the firm's operations, you are required to notify BEP within two weeks. Failure to notify our office of changes will result in decertification of your firm

Your firm's name will appear in the State's Directory as a certified vendor with the Business Enterprise Program in the specialty area(s) of:

NIGP 92500: ENGINEERING SERVICES, PROFESSIONAL  
NIGP 92536: ENGINEERING SERVICES (NOT OTHERWISE CLASSIFIED)  
NIGP 92561: LAND DEVELOPMENT AND PLANNING ENGINEERING  
NIGP 96460: LAND SURVEYING

Your firm will only show up in the database of BEP-certified vendors the NIGP codes listed above, so PLEASE REVIEW THE LIST CAREFULLY TO ENSURE THAT ALL RELEVANT NIGP CODES ARE INCLUDED.

Also, please be advised that this certification does not guarantee that you will receive a State contract. Please visit the Vendor Registration page on [www.opportunities.illinois.gov](http://www.opportunities.illinois.gov) and be sure to register with each of the Procurement Bulletins listed so that you are notified of upcoming solicitations in your NIGP codes. Certification with the Business Enterprise Program does not ensure you receive notifications; you must also register with the Procurement Bulletins.

Thank you for your participation in the Business Enterprise Program. We welcome your participation and wish you continued success.

Sincerely,

Carlos Gutiérrez  
Certification Manager  
Business Enterprise Program

# 05 MINORITY COMPLIANCE FORMS

## SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant  
**MBE/WBE PRIME CONTRACTOR MUST SUBMIT A SCHEDULE B, IF SELF-PERFORMING ANY WORK, TO RECEIVE MBE/WBE CREDIT**

Project: Riis (Jacob) Park (123) Fieldhouse Restoration

From: dbHMS Engineers  
(Name of MBE/WBE Firm)

MBE: ☒ Yes ☐ No  
WBE: ☐ Yes ☒ No

To: SMNG A Ltd. and the Chicago Park District:  
(Name of Prime Contractor-Submitter)

The undersigned intends to perform work in connection with the above projects as a:

**GENDER:**  
☒ Male  
☐ Female

**RACE/ETHNICITY:**  
☐ Black/African American  
☒ Hispanic American  
☐ Asian American  
☐ White American  
☐ Other \_\_\_\_\_

**TYPE OF FIRM:**  
☐ Partnership  
☐ Sole Proprietorship  
☒ Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

**Attach all current certification letters behind Schedule B.**

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Base MEPFP Services, Energy Modeling, MEP LEED Documentation, Lighting Design & Info Tech Services

The above described performance is offered for the following price and described terms of payment:

Base MEPFP Services: \$ 120,000

Energy Modeling: \$ 16,000


MEP LEED Documentation: \$ 15,000

Lighting Design Services: \$ 8,000

Information Technology Services: \$ 10,000

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature:   
(Signature of Owner or Authorized Agent of MBE/WBE)

Date: 12.21.20

Name/Title: Benjamin Rubach  
(Print or Type Name and Title)

Address: 303 W. Erie St., Ste. 510, Chicago, IL 60654

Telephone: 312.915.0557

Fax: 312.915.0558

End of Schedule B

Revised 6/27/19

# 05 MINORITY COMPLIANCE FORMS

CPD Riis Park (123) Fieldhouse & Spray Feature  
Restoration Design & Construction Svcs-AOR  
Request for Services (RFS)



DEPARTMENT OF PROCUREMENT SERVICES

CITY OF CHICAGO

APR 11 2019

Victor Avila  
Nest Builders, Inc. d/b/a dbHMS  
303 West Erie Street, Suite 510  
Chicago, IL 60654

Dear Mr. Avila:

We are pleased to inform you that **Nest Builders, Inc. d/b/a dbHMS** is recertified as a **Minority-Owned Business Enterprise ("MBE")** by the City of Chicago ("City"). This MBE certification is valid until **04/01/2024**; however, your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. Therefore, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five-year period stated above, you must file an **annual No-Change Affidavit**. Your firm's annual No-Change Affidavit is due by **04/01/2020, 04/01/2021, 04/01/2022, and 04/01/2023**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five-year certification will expire on **04/01/2024**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five-year anniversary date. Therefore, you must file for recertification by **02/01/2024**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as an **MBE** if you fail to:

121 NORTH LASALLE STREET, ROOM 806, CHICAGO, ILLINOIS 60602

# 05 MINORITY COMPLIANCE FORMS

Nest Builders, Inc. d/b/a dbHMS

Page 2 of 2

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General** at [chicagoinspectorgeneral.org](http://chicagoinspectorgeneral.org), or 866-IG-TIPLINE (866-448-4754).

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

**NAICS Code(s):**

**541330 - Engineering design services**


**541350 - Building inspection services**

Your firm's participation on City contracts will be credited only toward **MBE** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority, Women-Owned Business Enterprise, Veteran-Owned Business Enterprise and Business Enterprise Owned or Operated by People with Disabilities (MBE/WBE/VBE/BEPD) Program.

Sincerely,



Shannon E. Andrews   
Chief Procurement Officer

SEA/si

# 05 MINORITY COMPLIANCE FORMS

CPD Riis Park (123) Fieldhouse & Spray Feature  
Restoration Design & Construction Svcs-AOR  
Request for Services (RFS)

## SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant  
**MBE/WBE PRIME CONTRACTOR MUST SUBMIT A SCHEDULE B, IF SELF-PERFORMING ANY WORK, TO RECEIVE MBE/WBE CREDIT**

Project: Riis (Jacob) Park (123) Fieldhouse Restoration

From: Environmental Design International, Inc. (EDI)  
(Name of MBE/WBE Firm)

MBE: ☒ Yes ☐ No  
WBE: ☒ Yes ☐ No

To: SMNG A Ltd. and the Chicago Park District:  
(Name of Prime Contractor-Submitter)

The undersigned intends to perform work in connection with the above projects as a:

<b>GENDER:</b>	<b>RACE/ETHNICITY:</b>	<b>TYPE OF FIRM:</b>
<input type="checkbox"/> Male	<input checked="" type="checkbox"/> Black/African American	<input type="checkbox"/> Partnership
<input checked="" type="checkbox"/> Female	<input type="checkbox"/> Hispanic American	<input type="checkbox"/> Sole Proprietorship
	<input type="checkbox"/> Asian American	<input checked="" type="checkbox"/> Corporation
	<input type="checkbox"/> White American	<input type="checkbox"/> Joint Venturer
	<input type="checkbox"/> Other _____	<input type="checkbox"/> Other _____

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

**Attach all current certification letters behind Schedule B.**

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Environmental Services - Environmental investigation/assessment and survey; plus, Specifications and Contract Documents through Bid Docs

The above described performance is offered for the following price and described terms of payment:

\$6,500

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature:  Date: 12/17/2020  
(Signature of Owner or Authorized Agent of MBE/WBE)

Name/Title: Leslie J. Sawyer, President and CEO  
(Print or Type Name and Title)

Address: 33 W. Monroe Street Suite 1825, Chicago IL 60603

Telephone: 312-345-1400 Fax: 312-345-0529

**End of Schedule B**

Revised 6/27/19

# 05 MINORITY COMPLIANCE FORMS



CITY OF CHICAGO

DEPARTMENT OF PROCUREMENT SERVICES

JUN 18 2020

Leslie J. Sawyer  
Environmental Design International, Inc.  
33 W. Monroe St., Suite 1825  
Chicago, IL 60603

Dear Ms. Sawyer:

We are pleased to inform you that **Environmental Design International, Inc.** has been recertified as a **Women-Owned Business Enterprise ("WBE")** and **Minority-Owned Business Enterprise ("MBE")** by the City of Chicago ("City"). This **WBE/MBE** certification is valid until **6/15/2025**; however, your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. Therefore, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five-year period stated above, you must file an **annual No-Change Affidavit**. Your firm's annual No-Change Affidavit is due by **6/15/2021, 6/15/2022, 6/15/2023 and 6/15/2024**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five-year certification will expire on **6/15/2025**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five-year anniversary date. Therefore, you must file for recertification by **4/15/2025**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **WBE/MBE** if you fail to:

Environmental Design International, Inc.  
Page 2 of 2

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at [chicagoinspectorgeneral.org](http://chicagoinspectorgeneral.org), or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

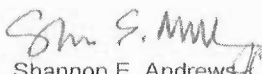
**NAICS Code(s):**

**541330 - Engineering services**  
**541350 - Building inspection services**  
**541370 - Surveying and mapping services (except geophysical)**  
**541620 - Environmental consulting services**  
**561210 - Facility Support Services**  
**562910 - Remediation Services**

Your firm's participation on City contracts will be credited only toward **WBE/MBE** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority, Women-Owned Business Enterprise, Veteran-Owned Business Enterprise and Business Enterprise Owned or Operated by People with Disabilities (MBE/WBE/VBE/BEPD) Program.

Sincerely,

  
Shannon E. Andrews  
Chief Procurement Officer

SEA/kr