## SCHEDULE A

	Statement of Prime Submitter Regardir  TO BE COMPLETED BY SU	
	TO BE COMPLETED BY SC	
Submitter: <u>V3 Con</u>	npanies	Project: <u>P-11007-080</u>
Is the submitter a cer	If yes, attach all current Lett	MBE: ☐ Yes ☒ No WBE: ☐ Yes ☒ No ers of Certification.
<b>CERTIFICATION OF TH</b>	HE SUBMITTER AS A WBE SATISFIES ONLY	THE MBE GOAL; THE <b>WBE</b> GOAL MUST STILL BE MET. THE WBE GOAL; THE <b>MBE</b> GOAL MUST STILL BE MET. ND <b>WBE</b> MAY SATISFY <b>ONE GOAL</b> ONLY.
Th	e submitter intends to perform work in	connection with this project as a:
GENDER: ☑ Male ☑ Female	RACE/ETHNICITY:  Black/African American Hispanic American Asian American White American Other	Type of Firm:  Partnership Sole Proprietorship Corporation Joint Venturer Other
such as the City of	included in the following plan must be of Chicago, Chicago Minority Supplier Dovelopment Center (WBDC), and the	certified as such by a public or private organization evelopment Council (CMSDC), Women Business Small Business Administration.
•	MBE/WBE Firms	
shall consider inv	e manner of MBE/WBE participation in volvement with MBE/WBE firms as join les, either directly or indirectly.	the performance of this contract, the submitter tventure partners, subcontractors, and suppliers of
attach copies	s a joint venturer and one or more joint s of Letters of Certification and a copy of e MBE/WBE firm(s) and its ownership	venture partners are certified MBEs or WBEs, of the Joint Venture Agreement clearly describing nterest in the joint venture.
B. Proposing Mi services not of participation.	BE/WBE subcontractors/suppliers/cons directly related to the performance of th	sultants to perform work or supply goods or is contract is considered to be indirect
MBE/WBE S	ubcontractors/Suppliers/Consultants:	
1. Name of	MBE/WBE: FluidClarity, Ltd.	
Address	1144 W. Lake Street, Oak Park, I	60301
Contact	Person: <u>Marion E. Kessy</u>	Phone: 708-383-5000
		Fax: <u>708-383-3549</u>
		32 Percent: <u>18.7</u> %
Will this	subcontractor be used for direct or indies  B and all current certification letters a	rect participation? (circle one)

2.	Name of MBE/WBE: Altamanu, Inc.				
	Address: 1700 W. Irving Park Road, Suite 202, Chicago, IL 60613				
	Contact Person: <u>Josephine Bellalta</u>	Phone: <u>773-528-7492</u>			
	E-mail: <u>josephine@altamanu.com</u>	Fax: <u>N/A</u>			
	MBE/WBE Participation: Dollars \$ 17,115.10				
	Will this subcontractor be used for <u>direct</u> or <u>indirect</u> participal Schedule B and all current certification letters attached?				
3.	Name of MBE/WBE: <u>Earth Solutions, Inc.</u>				
	Address: 40W205 Deer Run Drive, St. Charles, IL 60	175			
	Contact Person: <u>Juan Luna</u>	Phone: <u>630-443-0955</u>			
	E-mail: <u>Juan@myearthsolutions.com</u>	Fax: <u>N/A</u>			
	MBE/WBE Participation: Dollars \$ 10,000	Percent: 3.2	_%		
4.	Will this subcontractor be used for direct or indirect participal Schedule B and all current certification letters attached?  Name of MBE/WBE: STAT Analysis Corp.	ĭ Yes □ No			
	Address: 2242 West Harrison, Chicago, IL 60612				
	Contact Person: <u>Jason Kornfeind</u>	Phone: <u>312-733-0551</u>			
	E-mail: <u>jkornfeind@statanalysis.com</u>	Fax: <u>N/A</u>			
	MBE/WBE Participation: Dollars \$ 11,000	Percent: 3.5	_%		
	Will this subcontractor be used for <u>direct</u> or <u>indirect</u> particip. Schedule B and all current certification letters attached?				
5.	Name of MBE/WBE:	Weekler .			
	Address:				
	Contact Person:	Phone:			
	E-mail:	Fax:			
	MBE/WBE Participation: Dollars \$	Percent:	%		
	Will this subcontractor be used for <u>direct</u> or <u>indirect</u> particip				

### II. Summary of MBE/WBE Proposal:

Α.	MBE	Propo	sal:

MBE Participation:     MBE Firm Name	Dollar Amount of Participation	Percent Amount of Participation
FluidClarity, Ltd.	\$_58,552.32	%
Earth Solutions	\$_10,000.00	3.2 %
STAT Analysis Corporation	\$ 11,000.00	3.5 %
	\$	%
		%
45.000	\$	%
	\$	%
	\$	%
Total MBE Participation:	\$_79,552.32	%
2. WBE Participation:		
	Dollar Amount of	Percent Amount of
WBE Firm Name	Participation	Participation
Altamanu, Inc.	\$ 17,115.10	5.48 %
	<u> </u>	%
		%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
Total WBE Participation:	\$ 17,115.10	5.48 %

The submitter designates the following person as its MBE/WBE Liaison Officer:

Thomas E. Slowinski, Vice President	( 630 ) 729-6285
(Name and Title)	(Phone Number)
tslowinski@v3co.com	

(E-mail address)

To the best of my knowledge, information and belief, the facts and representations contained in this Schedule are true, and no material facts have been omitted.

Any material misrepresentation will be grounds for terminating any contract that may be awarded and for initiating action under federal or state laws concerning false statements.

Submitter:

V3 Companies

(Print or Type Name of Business

Signature:

(Written Signature of Authorized Officer/Representative)

\_\_\_\_\_

Date: August 22, 2014

Name/Title:

Thomas E. Slowinski, Vice President

(Print or Type Name and Title of Person Signing Statement)

#### NOTE

If submitter is an MBE/WBE joint venture with a non-MBE/WBE firm, use the following signature page instead:

**End of Schedule A** 

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant DO NOT FILL OUT OR SIGN THIS FORM IF YOU ARE THE PRIME SUBMITTER Project: Big Marsh MBE: Yes O No FLUIDCLARITY LTD From: WBE: Tyes No (Name of MBE/WBE Firm) and the Chicago Park District: V3 Companies To: (Name of Prime Contractor-Submitter) The undersigned intends to perform work in connection with the above projects as a: TYPE OF FIRM: RACE/ETHNICITY: GENDER: Partnership Black/African American Male ■ Sole Proprietorship ☐ Hispanic American ☐ Female Corporation ☐ Asian American Joint Venturer □ White American ☐ Other ☐ Other The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration. Attach all current certification letters behind Schedule B. The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract: Civil Engineering design, water resources including hydroogy, hydraulics, and modeling. The above described performance is offered for the following price and described terms of payment: \$58,552.32 If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets. The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District. Signature: (Signature of Owner or Authorized Agent of METWBE) Marion E Kessy, President Name/Title: (Print or Type Name and Title) Address: 1144 W Lake Street, Suite 303, Oak Park, IL 60301 Fax: (708) 383-3549 Telephone: (708) 383-3500

	Statemer	nt of Intent from WBE to Perform as DO NOT FILL OUT OR SIGN THIS FORM	Subcontractor, Supplier and/o	r Consultant ER
Project:				
From:	Altan	nanu Inc.		MBE: Yes WBE: Yes
То:	V3 ( (Name	Companies and the Chicago Park [ of Prime Contractor-Submitter)	District:	
	The un-	dersigned intends to perform work	in connection with the above p	rojects as a:
GENI Fem		RACE/ETHNICITY: Hispanic American	TYPE OF FIRM: Corporation	
public or j	private e	atus of the undersigned is confirmentities such as the City of Chicago men's Business Development Cen Attach all current certification	, the Chicago Minority Busines ter (WBDC), and the Small Bu	ss Development Council siness Administration.
		prepared to provide the following s the above pr ecture Services	services or supply the following oject/contract:	goods in connection with
The at \$17,115.10		cribed performance is offered for t	he following price and describe	ed terms of payment:
If more	space is	needed to fully describe the MBE/ schedule, attach	WBE firm's proposed scope of additional sheets.	f work and/or payment
conditioned u	pon you	enter into a written agreement for to execution of a contract with the Contract from the Ch	Chicago Park District, and will o	me contractor, do so within (3) three
Signature:	(Sidna)	rure of Owner or Authorized Agent of MBEI	Date:	August 22 <sup>nd</sup> 2014
Name/Title:	Vice	President Altamanu Inc.		4
Address:		Irving Park Road, Suite 202, Chic	ago IL 60613	
Telephone:	773	528.7492	Fax: N/A	

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant DO NOT FILL OUT OR SIGN THIS FORM IF YOU ARE THE PRIME SUBMITTER Project: Big Marsh Habitat Restoration **Earth Solutions** MBE: Yes O No From: WBE: Q Yes Q No (Name of MBE/WBE Firm) **V3** Companies To: and the Chicago Park District: (Name of Prime Contractor-Submitter) The undersigned intends to perform work in connection with the above projects as a: GENDER: RACE/ETHNICITY: TYPE OF FIRM: ☐ Black/African American Partnership Male Male ☐ Sole Proprietorship Hispanic American ☐ Female Corporation Asian American ■ White American □ Joint Venturer ☐ Other ☐ Other The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration. Attach all current certification letters behind Schedule B. The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract: Environmental drilling services The above described performance is offered for the following price and described terms of payment: \$10,000 on a unit rate basis If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets. The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District. Signature: (Signature of Owner or Authorized Agent of MBE/WBE) Name/Title:

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant DO NOT FILL OUT OR SIGN THIS FORM IF YOU ARE THE PRIME SUBMITTER Project: Big Marsh Habitat Restoration **STAT Analysis Corporation** MBE: X Yes D No From: WBE: Q Yes Q No (Name of MBE/WBE Firm) **V3 Companies** To: and the Chicago Park District: (Name of Prime Contractor-Submitter) The undersigned intends to perform work in connection with the above projects as a: TYPE OF FIRM: GENDER: RACE/ETHNICITY: ☐ Black/African American Partnership Male ☐ Female ☐ Sole Proprietorship ☐ Hispanic American ☑ Asian American ■ White American □ Joint Venturer ☐ Other ☐ Other The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration. Attach all current certification letters behind Schedule B. The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract: Environmental laboratory analysis. The above described performance is offered for the following price and described terms of payment: \$11,000 on a unit rate basis If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets. The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District. Date: September 5, 2014 Signature: Jason R. Kornfeind / Business Development Manager Name/Title: (Print or Type Name and Title) Address: 2242 West Harrison Street, Suite 200 Chicago, IL 60612 Fax: 312.733.2386 Telephone: 312.733.0551

## Metropolitan Water Reclamation District of Greater Chicago

100 EAST ERIE STREET

CHICAGO, ILLINOIS 60611-3154

312.751.5600

BOARD OF COMMISSIONERS Kathleen Therese Meany President

Barbara J. McGowan Vice President

Mariyana T. Spyropoulos Chairman of Finance Michael A. Alvarez Frank Avila Cynthia M. Santos Debra Shore Karl K. Steele Patrick D. Thompson

August 23, 2013

Marion Kessy Fluid Clarity, Ltd 1144 W. Lake Street, Suite 303 Oak Park, IL 60301

Vendor Number: 5011297

#### **Dear Marion Kessy:**

We are in receipt of your MWRD Certification material as a MBE, WBE or SBE.

The result of our review concludes that Fluid Clarity, Ltd is certified as a MBE eligible to fulfill MBE requirements on Metropolitan Water Reclamation District of Greater Chicago ("District") contracts. Your firm is certified as of August 23, 2013.

It is your firm's obligation to apply for re-certification no later than September 1, 2015. Re-certification may be revoked if it is determined that your firm is involved in bidding irregularities, contract fraud or misrepresentation of your firm's MBE, WBE or SBE status. An additional in-depth review will be made if your company is listed as MBE, WBE or SBE on a bid document to fulfill Affirmative Action goals at the District.

Your firm will be listed in the online edition of the District's Vendor Directory (MWRD.Org - "Search Vendor List"). Your specialty area will be shown as:

Planning-Site (Installation/Project); Sewage Collection/Treatment/Disposal-Architectural; Designing Services; Drafting Services; Surveying Svs (Not Aerial Or Research); Editorial Services; Engineering Consulting; Environmental Consulting; Computer Aided Design Services; Engineering Services-Professional; Civil Engineering; Dam Engineering; Environmental Engineering; Irrigation/Drainage/Flood Control/Engineering; Sewage Collection/Treatment-Disposal/Engineering; Environmental & Ecological Svcs

As a certified MBE, WBE or SBE, it is your obligation to promptly notify this office in writing of any changes or circumstances that affect your ability to meet ownership, size requirements and/or control of your firm. The notice must take the form of a notarized affidavit sworn to by the owner and provided within 30 days of the change. The District reserves the right to commence actions to revoke your firm's certification if this notification is not made.

Illinois law requires that all corporations secure a Certification of Authority from the Illinois Secretary of State prior to doing business in the State.

If you have any questions regarding MBE, WBE or SBE certification or District business opportunities, please contact me at 312-751-4035.

Diversity Administrator

must the



## DEPARTMENT OF PROCUREMENT SERVICES CITY OF CHICAGO

FEB 2 5 2014

Ms. Josephine Bellata Altamanu, Inc. 1700 W. Irving Park Rd., Suite 202 Chicago, IL 60613

Dear Ms. Bellata:

We are pleased to inform you that Altamanu, Inc., has been recertified as a Minority Business Enterprise ("MBE") and Women Business Enterprise ("WBE") by the City of Chicago ("City"). This MBE/WBE certification is valid until 12/1/2016; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your annual No-Change Affidavit 60 days before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an annual No-Change Affidavit. Your firm's annual No-Change Affidavit is due by 12/1/2014, and 12/1/2015. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on 12/1/2016. You have an affirmative duty to file for recertification 60 days prior to the date of the five year anniversary date. Therefore, you must file for recertification by 10/1/2016.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification within 10 days of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a MBE/WBE if you fail to:



Altamanu, Inc. Page 2 of 2

• File your annual No-Change Affidavit within the required time period;

 Provide financial or other records requested pursuant to an audit within the required time period;

 Notify the City of any changes affecting your firm's certification within 10 days of such change; or

File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the City's Inspector General at chicagoinspectorgeneral.org, or 866-IG-TIPLINE (866-448-4754).

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

NAICS Code(s):

541320 - Landscape Architecture and Design Services

Your firm's participation on City contracts will be credited only toward Minority Business Enterprise and Women Business Enterprise goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,

Chief Procured ent Office

JLR/cm

-KW



#### DEPARTMENT OF PROCUREMENT SERVICES

#### CITY OF CHICAGO

July 03, 2014,

Juan Luna
EARTH SOLUTIONS, INC.
40W205 Deer Run Drive
St. Charles, IL 60175

Email: Beth@myearthsolutions.com

Dear Mr. Luna,

This letter is to inform you that the City of Chicago has extended your status as **Minortiy Business Enterprise (MBE) until September 30, 2014.** We are providing this extension to allow enough time to provide any additional documentation that your application may be missing and for our office to complete our review of all of the submitted documents.

This extension does not guarantee eligibility in the program but will act as a courtesy extension until we receive all of the required documentation and complete a review of that documentation.

Please present this letter and copy of your last certification letter as evidence of your certification to be included with bid document submittals as needed.

tyou have any questions, please feel free to contact our office at (312) 744-1929.

Sincerely

George Coleman Jr.

**Deputy Procurement Officer** 

GC/Sm



#### DEPARTMENT OF PROCUREMENT SERVICES

#### CITY OF CHICAGO

APR 03 2014

Juan Luna EARTH SOLUTIONS, INC. 40W205 Deer Run Drive St. Charles, IL 60175

Dear Mr. Luna:

We are pleased to inform you that EARTH SOLUTIONS, INC. has been recertified as a Minority Business Enterprise ("MBE") by the City of Chicago ("City"). This MBE certification is valid until 10/01/2015; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your annual No-Change Affidavit 60 days before your annual anniversary date.

Your firm's five year certification will expire on 6/01/2014. You have an affirmative duty to file for recertification 60 days prior to the date of the five year anniversary date. Therefore, you must file for recertification by 04/01/2014.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification within 10 days of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **MBE** if you fail to:

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification within 10 days of such change; or
- File your recertification within the required time period.



Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the City's Inspector General at chicagoinspectorgeneral.org, or 866-IG-TIPLINE (866-448-4754).

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

NAICS Code(s):

238910 - Site Preparation Contractors

238910 - Core Drilling and Test Boring for Construction

Your firm's participation on City contracts will be credited only toward Minority Business Enterprise goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,

Jamie L./Rhee 57 Chief Procurement Officer

JLR/sl



# DEPARTMENT OF PROCUREMENT SERVICES CITY OF CHICAGO

August 28, 2014

Mr. Surendra Kumar **Hi-Tek Environmental, dba STAT Analysis Corporation** 2242 W. Harrison St., Ste. 200 Chicago, IL 60612

Dear Mr. Kumar:

This letter is to inform you that the city of Chicago has extended your status as a **Minority Business Enterprise (MBE)** until **November 30, 2014**. We are providing this extension to allow enough time to provide any additional documentation that your application may be missing and for our office to complete our review of all of the submitted documents.

This extension does not guarantee eligibility in the program but will act as a courtesy extension until we receive all of the required documentation and complete a review of that documentation.

Please present this letter as evidence of your certification to be included with bid document submittals as needed.

If you have any questions, please feel free to call our office at 312-744-4900.

Sincerely,

George Coleman

Deputy Procurement Officer &

GC/cm