

SCHEDULE A

Statement of Prime Submitter Regarding Its MBE/WBE Utilization Plan

TO BE COMPLETED BY SUBMITTER ONLY

Submitter: Arthur J. Gallagher Risk Management Services, LLC Project: Chicago Park District RFP P-23018 Insurance Brokerage Services

Is the submitter a certified MBE/WBE? MBE: ☐ Yes ☒ No WBE: ☐ Yes ☒ No

If yes, attach all current Letters of Certification.

NOTE:

CERTIFICATION OF THE SUBMITTER AS AN **MBE** SATISFIES ONLY THE MBE GOAL; THE **WBE** GOAL MUST STILL BE MET. CERTIFICATION OF THE SUBMITTER AS A **WBE** SATISFIES ONLY THE WBE GOAL; THE **MBE** GOAL MUST STILL BE MET. CERTIFICATION OF THE SUBMITTER AS BOTH **MBE** AND **WBE** MAY SATISFY **ONE GOAL** ONLY.

The submitter intends to perform work in connection with this project as a:

GENDER:

☒ Male
☐ Female

RACE/ETHNICITY:

☐ Black/African American
☐ Hispanic American
☐ Asian American
☒ White American
Other _____

TYPE OF FIRM:

☐ Partnership
☐ Sole Proprietorship
☐ Corporation
☐ Joint Venturer
☒ Other LLC

All MBE/WBE firms included in the following plan must be certified as such by a public or private organization such as the City of Chicago, Chicago Minority Supplier Development Council (CMSDC), Women Business Development Center (WBDC), and the Small Business Administration.

I. Participation of MBE/WBE Firms

In determining the manner of MBE/WBE participation in the performance of this contract, the submitter shall consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services, either directly or indirectly.

- A. If submitter is a joint venturer and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification and a copy of the Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the joint venture.
- B. Proposing MBE/WBE subcontractors/suppliers/consultants to perform work or supply goods or services not directly related to the performance of this contract is considered to be indirect participation.

MBE/WBE Subcontractors/Suppliers/Consultants:

1. Name of MBE/WBE: Risk Management and Information Systems, Inc
Address: 155 N. Michigan Ave, Suite 375, Chicago, IL 60601
Contact Person: Celeste Watts, President Phone: 773.430.7159
E-mail: celeste_rmis@sbcglobal.net Fax: 312.729.5239
MBE/WBE Participation: Dollars \$ \$69,375 Percent: 25 %
Will this subcontractor be used for direct or indirect participation? (circle one)
Schedule B and all current certification letters attached? ☒ Yes ☐ No
2. Name of MBE/WBE: W. A. George Insurance Agency

Address: 155 N. Michigan Ave, Suite 300, Chicago, IL 60601

Contact Person: Mercedes George, President Phone: 312.877.5540

E-mail: mercedes@wag-insurance.com Fax: _____

MBE/WBE Participation: Dollars \$ 13,875 Percent: 5 %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

3. Name of MBE/WBE: _____

Address: _____

Contact Person: _____ Phone: _____

E-mail: _____ Fax: _____

MBE/WBE Participation: Dollars \$ _____ Percent: _____ %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

4. Name of MBE/WBE: _____

Address: _____

Contact Person: _____ Phone: _____

E-mail: _____ Fax: _____

MBE/WBE Participation: Dollars \$ _____ Percent: _____ %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

5. Name of MBE/WBE: _____

Address: _____

Contact Person: _____ Phone: _____

E-mail: _____ Fax: _____

MBE/WBE Participation: Dollars \$ _____ Percent: _____ %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

6. Name of MBE/WBE: _____

Address: _____

Contact Person: _____ Phone: _____

E-mail: _____ Fax: _____

MBE/WBE Participation: Dollars \$_____ Percent: _____%

Will this subcontractor be used as direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

7. Name of MBE/WBE: _____

Address: _____

Contact Person: _____ Phone: _____

E-mail: _____ Fax: _____

MBE/WBE Participation: Dollars \$_____ Percent: _____%

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

8. Name of MBE/WBE: _____

Address: _____

Contact Person: _____ Phone: _____

E-mail: _____ Fax: _____

MBE/WBE Participation: Dollars \$_____ Percent: _____%

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

9. Name of MBE/WBE: _____

Address: _____

Contact Person: _____ Phone: _____

E-mail: _____ Fax: _____

MBE/WBE Participation: Dollars \$_____ Percent: _____%

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

Attach additional sheets as needed.

II. Summary of MBE/WBE Proposal:

A. MBE Proposal:

1. MBE Participation:

<u>MBE Firm Name</u>	Dollar Amount of Participation	Percent Amount of Participation	Direct	Indirect
			(check ✓ one)	
Risk Management and Information Systems, Inc.	\$ 69,375	25 %	✓	
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
Total MBE Participation:	\$ 69,375	25 %		

2. WBE Participation:

<u>WBE Firm Name</u>	Dollar Amount of Participation	Percent Amount of Participation	Direct	Indirect
			(check ✓ one)	
W. A. George Insurance Agency	\$ 13,375	5 %	✓	
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
Total WBE Participation:	\$ 13,375	5 %		

The submitter designates the following person as its MBE/WBE Liaison Officer:

Larry Phillips VP Diversity & Community	(630) 285-4342
(Name and Title)	(Phone Number)
Larry_phillips@ajg.com	
(E-mail address)	

The Contractor certifies to the best of its knowledge and belief that it, its principals and any subcontractors used in the performance of this contract, meet the Park District requirements and have not violated any City or Sister Agency policy, codes, state, federal, or local laws, rules or regulations and have not been subject to any debarment, suspension or other disciplinary action by any government agency. Additionally, if at any time the contractor becomes aware of such information, it must immediately disclose it to the Park District.

Submitter: Arthur J. Gallagher Risk Management Services, LLC
(Print or Type Name of Business)

Signature: Miles Shepp Date: April 18 2024
(Written Signature of Authorized Officer/Representative)

Name/Title: Miles Shepp, Area Senior Vice President
(Print or Type Name and Title of Person Signing Statement)

NOTE

**If submitter is an MBE/WBE joint venture with a non-MBE/WBE firm,
use the following signature page instead:**

End of Schedule A

SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant
MBE/WBE PRIME CONTRACTOR MUST SUBMIT A SCHEDULE B, IF SELF-PERFORMING ANY WORK, TO RECEIVE MBE/WBE

CREDIT

Project: Insurance Brokerage Services P23018

From: W.A. George Insurance Agency Inc MBE: ☐ Yes ☒ No
(Name of MBE/WBE Firm) WBE: ☒ Yes ☐ No

To: A J Gallager and the Chicago Park District:
(Name of Prime Contractor-Submitter)

The undersigned intends to perform work in connection with the above projects as a:

GENDER: <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female	RACE/ETHNICITY: <input checked="" type="checkbox"/> Black/African American <input type="checkbox"/> Hispanic American <input type="checkbox"/> Asian American <input type="checkbox"/> White American <input type="checkbox"/> Other _____	TYPE OF FIRM: <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Joint Venturer <input type="checkbox"/> Other _____
-----------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

Attach all current certification letters behind Schedule B.

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Issue Insurance Certificates at the request of the
Chicago Park District.

The above described performance is offered for the following price and described terms of payment:
\$13,875 which is equal to 5% of the contract amount of the initial to be paid in accordance
with the Chicago Park District's MBE/WBE Policy.

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature: Mercedes George Date: 02/14/2024
(Signature of Owner or Authorized Agent of MBE/WBE)

Name/Title: Mercedes George, President
(Print or Type Name and Title)

Address: 155 N. Michigan Avenue, Suite 300, Chicago, IL 60601

Telephone: 312-877-5540 Fax: 312-877-5590



CITY OF CHICAGO

DEPARTMENT OF PROCUREMENT SERVICES

JUL 22 2022

Mercedes George
W.A. George Insurance Agency, Inc.
155 N. Michigan Ave., Suite 300
Chicago, IL 60601

Dear Ms. George:

We are pleased to inform you that W.A. George Insurance Agency, Inc. continues to be certified as a **Minority-Owned Business Enterprise ("MBE")** and **Women-Owned Business Enterprise ("WBE")** the City of Chicago ("City"). This certification will remain effective for as long as your firm continues to meet all certification eligibility requirements and is contingent upon the firm affirming its eligibility by filing an **annual No-Change Affidavit** each year. In the past, the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. Therefore, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification, you must **file an annual No-Change Affidavit by your anniversary date of July 15th**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the anniversary date for timely processing. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **MBE/WBE** if you fail to:

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or

- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General** at chicagoinspectorgeneral.org, or 866-IG-TIPLINE (866-448-4754).

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

NAICS Codes:

524210- Insurance Agencies

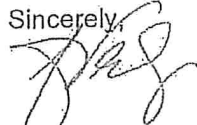
524292- Insurance Plan Administrative Services (Except Claims Adjusting Only), Third Party

524298- All Other Insurance Related Activities

Your firm's participation on City contracts will be credited only toward MBE/WBE goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority, Women-Owned Business Enterprise, Veteran-Owned Business Enterprise and Business Enterprise Owned or Operated by People with Disabilities (MBE/WBE/VBE/BEPD) Program.

Sincerely,



Aileen Velazquez
Chief Procurement Officer

AV/fn



Mercedes George, AU

President & New Business Development

155 N. Michigan Avenue, Suite 300
Chicago, IL 60601
Office: +1.312.877.5540
Mobile: +1.312.316.8709

mercedes@waq-insurance.com

MBE/WBE/DBE

W.A. George Insurance Agency is one of the oldest African American insurance agencies in the country. Our team of friendly dedicated professionals specializes in personal, business, and financial coverage.

From 1972 to today

W. A. George Insurance Agency began in 1972 as a small, one-person enterprise, which has grown to what it is today through its commitment to building strong, long-term customer relationships – one customer at a time.

Today, W.A. George is a full-service insurance agency, located in Chicago, IL with an nationwide practice and expertise in both personal and commercial insurance coverage.

Our dedicated team of trained insurance professionals still works one-on-one with clients to assess their individual insurance needs and to design a customized insurance package that addresses each client's short-and long-term coverage needs.

Responsibilities

Mercedes is President and owner and is directly responsible for marketing, and new business development. Mercedes has developed specialized expertise in several business industries, including Municipal work, Builders Risk, Workers Compensation, Construction, Bonding and Commercial Property and Liability. Throughout her career, Ms. George has been committed to developing innovative solutions that meet the specific service requirements of her clients.

Experience

Mercedes George combines over 24 years of experience in the insurance industry, with over 47 years of entrepreneurial business leadership and customer service experience. Mercedes has placed coverage with the Water Reclamation District of Greater Chicago, The Department of Aviation for the City of Chicago, Metra, RTA, Indiana Rail, MPEA, Chicago Zoological Society, and The Chicago Housing Authority. Work with Risk Managers on compliance issues, go to different markets for pricing and placing of insurance to make sure that our clients have the best possible premium. Help write safety manuals to ensure that safety measures are met. Ensure that the certificates are compliant per contract.

W.A. George Insurance Agency through Mercedes's direction now writes insurance in 28 States.

Expertise includes Project Manager, Client Relations, Certificate Writing. Business Ownership, Mentor/Business Advisor, Associate Underwriter, Trainer/Seminar Leader, and Risk Management.



Education

Mercedes holds a Bachelor degree in Physiology from the University of Illinois Chicago, and Associate Underwriter designation in 2010.

Mercedes is a licensed property and casualty agent in 28 states.

Affiliations/Memberships include:

National African American Insurance Association (NAAIA),

Women Business Enterprise Council (WBEC) Certified Business

Professional Independent Insurance Agents of Illinois (PHAI)

Black Contractors United

Black Contractors United Foundation- VP

W A GEORGE INSURANCE AGENCY INC
155 W MICHIGAN AVE
STE 300
CHICAGO IL 60601

State Of Illinois
Insurance License

License No: 100291763

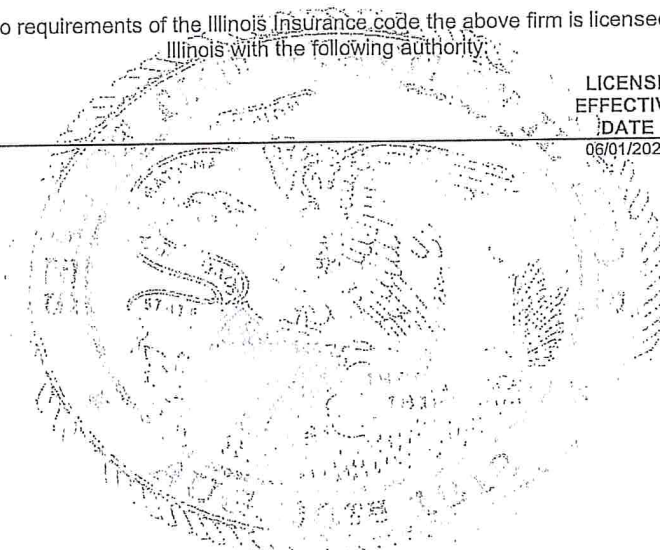
W A GEORGE INSURANCE AGENCY INC

This is to certify that pursuant to requirements of the Illinois Insurance code the above firm is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE
BUSINESS ENTITY PRODUCER

**LICENSE
EFFECTIVE
DATE**
06/01/2023

**LICENSE
EXPIRATION
DATE**
05/31/2025



For questions regarding a license, contact the
Illinois Department of Insurance at
DOI.licensing@illinois.gov

Dana Popish-Severinghaus

Dana Popish-Severinghaus
Director Illinois Dept. of Insurance

MERCEDES J GEORGE
W. A. GEORGE INSURANCE AGENCY, INC.
155 N MICHIGAN AVE STE 300
CHICAGO IL 60601-7635

State Of Illinois		Insurance License		NPN: 8316141	
License No: 8316141					
MERCEDES J GEORGE					
This is to certify that pursuant to requirements of the Illinois Insurance Code the above individual is licensed to do business in the state of Illinois with the following authority:					
LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE	
Insurance Producer	Life	10/28/2004	04/01/2023	03/31/2025	
	Fire	11/20/2004			
	Health	10/28/2004			
	Casualty	11/13/2004			

Dana Popish-Severinghaus
Dana Popish-Severinghaus
Director Illinois Dept. of Insurance

License No: 8316141

State Of Illinois
Insurance License

NPN: 8316141

MERCEDES J GEORGE

This is to certify that pursuant to requirements of the Illinois Insurance Code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Life	10/28/2004	04/01/2023	03/31/2025
	Fire	11/20/2004		
	Health	10/28/2004		
	Casualty	11/13/2004		

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

Dana Popish-Severinghaus

For questions regarding a license, contact the
Illinois Department of Insurance at
DOI.licensing@illinois.gov

Dana Popish-Severinghaus
Director Illinois Dept. of Insurance

Certification: View**Help & Tools** **Certification List**

This record is from **City of Chicago**. It is not managed by Chicago Park District.

Vendor Information

BUSINESS NAME	W. A. George Insurance Agency, Inc.
SYSTEM VENDOR NUMBER	20070043
PRIMARY OWNER'S NAME	Ms. Mercedes George
COMPANY TYPE	Corporation
ETHNIC GROUP	African American
GENDER	Female

Certification Information

CERTIFYING AGENCY	City of Chicago
CERTIFICATION TYPE	WBE - Women Business Enterprise
EFFECTIVE DATE	6/21/2023
RENEWAL DATE	7/15/2024

Contact Information

MAIN COMPANY EMAIL	mercedes@wag-insurance.com
MAIN PHONE	312-877-5540
MAIN FAX	312-877-5590
MAIN COMPANY WEBSITE	http://www.wag-insurance.com

Addresses

PHYSICAL ADDRESS	155 N. Michigan Ave., Ste. 300 Chicago, IL 60601 [map]
MAILING ADDRESS	155 N. Michigan Ave., Ste. 300 Chicago, IL 60601 [map]

Business Capabilities

BUSINESS CERTIFIED FOR	NAICS 524210 Insurance agencies (More) NAICS 524292 Insurance plan administrative services (except claims adjusting only), third party (More) NAICS 524298 All Other Insurance Related Activities (More) NAICS 524298 Insurance processing, contract or fee basi	
FULL DESCRIPTION OF CAPABILITIES/PRODUCTS	NAICS 524210 Insurance agencies (More) NAICS 524292 Insurance plan administrative services (except claims adjusting only), third party (More) NAICS 524298 All Other Insurance Related Activities (More) NAICS 524298 Insurance processing, contract or fee basi	
COMMODITY CODES	NAICS 524210	Insurance agencies (More)
	NAICS 524292	Insurance plan administrative services (except claims adjusting only), third party (More)
	NAICS 524298	All Other Insurance Related Activities (More)

Owner Ethnicity and Gender

ETHNIC GROUP	African American
GENDER	Female
DBE ETHNIC GROUP	Black American

Location

COUNTY	Cook (IL)
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Additional Information

WARD	34
COMMUNITY AREA	32 Loop
QUALIFIED INVESTMENT AREA	No

Certification List

SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant
MBE/WBE PRIME CONTRACTOR MUST SUBMIT A SCHEDULE B, IF SELF-PERFORMING ANY WORK, TO RECEIVE MBE/WBE CREDIT

Project: Chicago Park District RFP P-23018 Insurance Brokerage Services

From: Risk Management and Information Systems, Inc.

(Name of MBE/WBE Firm)

MBE: ☒ Yes ☐ No

WBE: ☐ Yes ☒ No

To: AJ Gallagher Insurance Agency

(Name of Prime Contractor-Submitter)

and the Chicago Park District:

The undersigned intends to perform work in connection with the above projects as a:

GENDER:

☐ Male

☒ Female

RACE/ETHNICITY:

☒ Black/African American

☐ Hispanic American

☐ Asian American

☐ White American

☐ Other _____

TYPE OF FIRM:

☐ Partnership

☐ Sole Proprietorship

☒ Corporation

☐ Joint Venturer

☐ Other _____

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

Attach all current certification letters behind Schedule B.

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Assist Gallagher with the following: Current program analysis, review policies and endorsements for accuracy and conformity to specifications and negotiated coverages, and provide coverage summaries for all new coverages and changes.

The above described performance is offered for the following price and described terms of payment:

\$69,375 which is equal to 25% of the Contract Amount of the initial term to be paid in accordance with the Chicago Park District's MBE/WBE Policy.

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature: _____

Celeste L. Watts
(Signature of Owner or Authorized Agent of MBE/WBE)

Date: 2/14/2024

Name/Title: Celeste Watts/President

(Print or Type Name and Title)

Address: 155 N Michigan Ave Suite 375 Chicago, IL 60601

Telephone: 773-430-7159

Fax: 312-729-5239

End of Schedule B

CELESTE D WATTS
RISK MANAGEMENT AND INFORMATION SYSTEMS, INC.
155 N. MICHIGAN AVENUE
SUITE 621
CHICAGO IL 60601

State Of Illinois

License No: 19589904

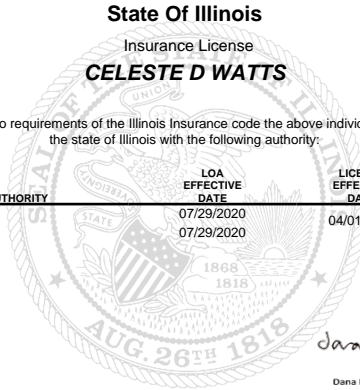
Insurance License

NPN: 19589904

CELESTE D WATTS

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Casualty	07/29/2020	04/01/2024	03/31/2026
	Fire	07/29/2020		



Dana Popish-Severinghaus
Dana Popish-Severinghaus
Director Illinois Dept. of Insurance

State Of Illinois

License No: 19589904

Insurance License

NPN: 19589904

CELESTE D WATTS

This is to certify that pursuant to requirements of the Illinois Insurance code the above individual is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE	LINES OF AUTHORITY	LOA EFFECTIVE DATE	LICENSE EFFECTIVE DATE	LICENSE EXPIRATION DATE
Insurance Producer	Casualty	07/29/2020	04/01/2024	03/31/2026
	Fire	07/29/2020		

This insurance license shall remain in effect until the expiration date unless suspended, revoked or denied. If required, the licensee must complete continuing education, renew the license and pay all applicable renewal fees as required by Illinois administrative code prior to the expiration date.

For questions regarding a license, contact the
Illinois Department of Insurance at
DOI.licensing@illinois.gov

Dana Popish-Severinghaus
Dana Popish-Severinghaus
Director Illinois Dept. of Insurance

RISK MANAGEMENT AND INFORMATION SYSTEMS, INC.

155 N MICHIGAN AVE

SUITE 621

CHICAGO IL 60601

State Of Illinois

License No: 3000916909

Insurance License

RISK MANAGEMENT AND INFORMATION SYSTEMS, INC.

This is to certify that pursuant to requirements of the Illinois Insurance code the above firm is licensed to do business in the state of Illinois with the following authority:

LICENSE TYPE

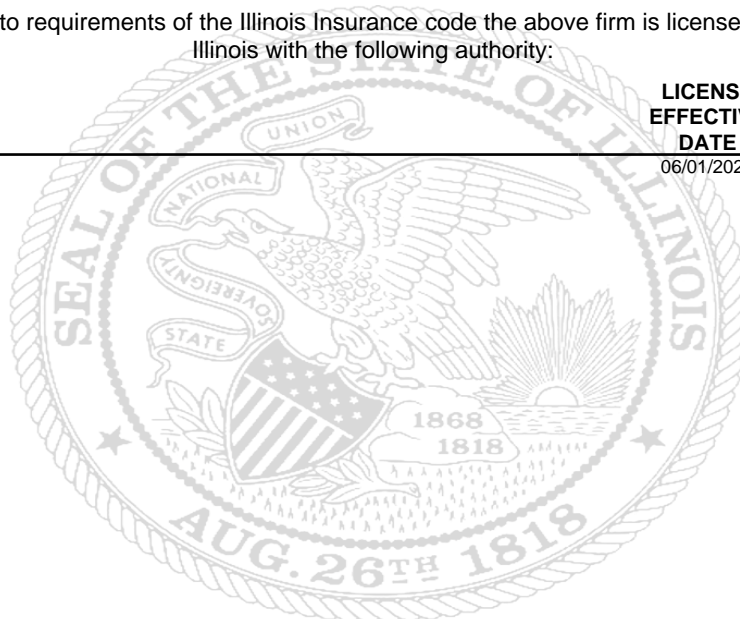
BUSINESS ENTITY PRODUCER

**LICENSE
EFFECTIVE
DATE**

06/01/2024

**LICENSE
EXPIRATION
DATE**

05/31/2026



Dana Popish-Severinghaus

For questions regarding a license, contact the
Illinois Department of Insurance at
DOI.licensing@illinois.gov

Dana Popish-Severinghaus
Director Illinois Dept. of Insurance

Celeste D. Watts

President & CEO
Risk Management & Information Systems, Inc.

Responsibilities

Celeste D. Watts is the President and Owner of Risk Management & Information Systems, Inc ("RMIS"). She is a licensed Broker with over 30 years of experience in Risk Management and Commercial Insurance. Her background as a Risk Manager and Underwriter uniquely positions her to service clients in the Public and Private Sector. She also directs "RMIS" in partnership with Brokers and other Consultants for the following Clients: **The City of Chicago, The City of Chicago's Department of Aviation, The Chicago Housing Authority, The Metropolitan Pier and Exposition Authority, and The Metropolitan Water Reclamation District.**

Experience

Risk Management and Information Systems – Prime Consultant

Lead Consultant to the City of Chicago Risk Management Office

Between 2002 and 2012, Celeste acted as an arm to two City Risk Managers on all Property and Casualty Insurance Programs including: **Airport Property, Airport Liability, City Property, City Excess Liability, and other Miscellaneous Programs.** Her Company assisted with: policy review and analysis to ensure compliance with specifications and negotiated coverages, the development of Request for Proposals, including Wrap-Up Insurance Programs, prepared Insurance Specifications, and help establish insurance requirements to be met by consultants, contractors, and vendors. **Currently, she continues to provide these services as an MBE Partner.**

As a Prime Risk Management Consultant, Celeste acted as a liaison between the City of Chicago Risk Management Office and various Construction Program Managers for the Department of Aviation Capital Improvement Projects: Airport Owners Representative, Care LLC, and Care Plus LLC to ensure the City of Chicago contract insurance requirements were met. As a WBE Partner, she and her staff also provided the following services for the Program Managers: Evaluation of existing and renewal insurance programs, preparation of insurance specifications and RFPs, assisted with price negotiations, insurance audits and provided recommendations. From these recommendations, the City of Chicago and the Program Managers experienced great success including reductions in premiums, resulting in bottom line saving.

She also provided similar services to other governmental entities including the **City of Chicago Public Building Commission, the Chicago Housing Authority, and the Chicago Board of Education.**

Celeste has worked on the following wrap-ups: **City of Chicago Joint Consolidated Rental Car Wrap-Up Program, The O'Hare Modernization Project-OCIP, the Midway Airport Terminal Development Project-CCIP Southwest Transit, Harold Washington Library, and International Terminal, and the Public Building Commission OCIP.**

Her extensive experience has created expertise in the areas of the **design, implementation and administration of Risk Management Programs, Aviation Insurance, Construction Risk Management and Wrap-Up Insurance.**

Prior to starting her Company, Celeste held the following positions:

- **Director of Risk Management for the Chicago Park District**, where she directed and coordinated the activities of Loss Control/Safety, General Liability and Workers' Compensation Claims and negotiated and purchased Commercial Insurance including \$100,000,000 for the Chicago Bears to play at Soldier Field. She also served as Acting Medical Administrator in which she was responsible for overseeing the day to day activities of the Medical Department.
- **Assistant Comptroller of Insurance for the City of Chicago**
- **Senior Commercial Underwriter**



Mentoring

Celeste has been at the forefront of mentoring youth directly and in partnership with various organizations including: One Summer Chicago (Saint Sabina), Chicago Area Project, Chicago Housing Authority, and the City of Chicago Risk Management Office. Many of these youths have gone on to become successful in their careers and endeavors.

Education

Celeste received her B.A. in Sociology and Minor in Business Administration at Alabama A&M University.

Memberships

National African American Insurance Association (NAAIA)

Risk and Insurance Management Society (RIMS)



RISK MANAGEMENT
& INFORMATION SYSTEMS, Inc.

■ 155 N Michigan Ave STE 375 Chicago, IL 60601
☎ Telephone (312)729 – 5233
☎ Fax (312)729 – 5239
🌐 Rmisinc.com
✉ Celeste_rmis@sbcglobal.net

Risk Management & Information Systems, Inc.

Risk Management & Information Systems, Inc. ("RMIS") is a Licensed Insurance and Risk Management Consulting Company consisting of a synergy of experts in the field of Risk Management, Property, Casualty, Specialty Insurance and Claims. **RMIS** was incorporated in the State of Illinois in August 1994 to provide risk management and insurance services to governmental and private entities. The company is located in downtown Chicago and provides excellent access for existing and potential clients.

RMIS provides services as a Prime or as a MBE Partner. **RMIS** has established a strong track record for providing quality services by using risk management techniques designed to minimize risk and protect the assets of its clients. **RMIS** Specialties include Construction Risk Management, Aviation Insurance and Wrap-up Insurance for the Public and Private Sector. Clients include(d) the City of Chicago Risk Management Office, O'Hare Airport Transit Systems, Inc., the Chicago Housing Authority, the Chicago Public Building Commission, and Cook County.

RMIS provides many valuable services on or off site to its clients on a bundled or unbundled basis. Our approach is to work with clients to identify exposures to loss, design and implement risk management strategies and monitor results. **RMIS** clients ultimately benefit from informed recommendations tailored to their specific needs.

SCOPE OF SERVICES

- Identify risk exposures, update data and determine insurance need
- Evaluate existing and proposed insurance programs to determine appropriate methods for treatment of loss exposures and develop alternatives
- Compile underwriting data and prepare specifications
- Analyze property and casualty insurance including wrapup insurance policies.
- Assist in price negotiations and coverage enhancements
- Establish insurance requirement to be met by vendors, consultants and contractors
- Review certificate of insurance to ensure compliance with Agreements and Contracts
- Prepare Request for Proposals
- Provide a trend analysis to support production and presentation of quarterly and annual stewardship reports to department head, if required
- Provide qualified and pre-vetted claim adjusters and claim personnel
- Provide training/educational seminar
- Perform insurance audits

SPECIALIZED SERVICES AND EXPERIENCE

Construction/Capital Improvement

- Chicago Area Resources Enterprise Plus, LLC ("CARE Plus")
- Chicago Area Resources Enterprise, LLC

Public Sector

- City Of Chicago
- Chicago Housing Authority
- Cook County
- Metropolitan Water Reclamation
- Public Building Commission

Workers Compensation Claims

- City Of Chicago

Aviation Insurance

- City Of Chicago
- O'Hare Airport Transit System, Inc

Wrap-up Insurance

- City Of Chicago Joint-Use Consolidated Rental Wrapup
- Public Building Commission Wrapup
- O'Hare Modernization
- Midway Airport Terminal Development Project

OCIP Administration



CITY OF CHICAGO

DEPARTMENT OF PROCUREMENT SERVICES

APR 03 2020

Celèste Watts
Risk Management and Information Systems, Inc.
155 North Michigan Avenue, Unit 621
Chicago, IL 60601

Dear Ms. Watts:

We are pleased to inform you that **Risk Management and Information Systems, Inc.** has been recertified as a **Minority-Owned Business Enterprise ("MBE")** and **Women-Owned Business Enterprise ("WBE")** by the City of Chicago ("City"). This **MBE/WBE** certification is valid until **3/1/2025**; however, your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. Therefore, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an **annual No-Change Affidavit**. Your firm's annual No-Change Affidavit is due by **3/1/2021, 3/1/2022, 3/1/2023 and 3/1/2024**. Please remember, you have an affirmative duty to file your No-Change Affidavit 60 days prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on **3/1/2025**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five year anniversary date. Therefore, you must file for recertification by **1/1/2025**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as an **MBE/WBE**. File your annual No-Change Affidavit within the required time period;

- Provide financial or other records requested pursuant to an audit within the required time period;

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- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at chicagoinspectorgeneral.org, or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Certified Firms in the specialty area(s) of:

NAICS Code(s):

524298 - All Other Insurance Related Activities

Firm specializes in insurance risk management consulting services.

Your firm's participation on City contracts will be credited only toward **MBE/WBE** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise, Veteran-Owned Business Enterprise and Business Enterprise Owned or Operated by People with Disabilities (MBE/WBE/VBE/BEPD) Programs.

Sincerely,



Shannon E. Andrews
Chief Procurement Officer

SEA/rn

Business & Contact Information

BUSINESS NAME	Risk Management and Information Systems, Inc.
OWNER	Ms. Celeste Watts
ADDRESS	155 North Michigan Avenue Unit 375 Chicago, IL 60601-7578 [map]
PHONE	312-819-1065
FAX	312-729-5239
EMAIL	rmis@sbcglobal.net
ETHNICITY	African American

Certification Information

CERTIFYING AGENCY	City of Chicago
CERTIFICATION TYPE	MBE - Minority Business Enterprise
CERTIFICATION DATE	2/21/2024
RENEWAL DATE	3/1/2025
EXPIRATION DATE	3/1/2029
CERTIFIED BUSINESS DESCRIPTION	NAICS 524298 All Other Insurance Related Activities

Firm specializes in insurance risk management consulting services

Commodity Codes

Code	Description
NAICS 524298	All Other Insurance Related Activities

Additional Information

WARD	34
COMMUNITY AREA	32 Loop
QUALIFIED INVESTMENT AREA	No

Certification: View**Help & Tools** **Certification List**

This record is from **City of Chicago**. It is not managed by Chicago Park District.

Vendor Information

BUSINESS NAME	Risk Management and Information Systems, Inc.
SYSTEM VENDOR NUMBER	20069607
PRIMARY OWNER'S NAME	Ms. Celeste Watts
COMPANY TYPE	Corporation
ETHNIC GROUP	African American
GENDER	Female

Certification Information

CERTIFYING AGENCY	City of Chicago
CERTIFICATION TYPE	MBE - Minority Business Enterprise
EFFECTIVE DATE	2/21/2024
RENEWAL DATE	3/1/2025

Contact Information

MAIN COMPANY EMAIL	rmis@sbcglobal.net
MAIN PHONE	312-819-1065
MAIN FAX	312-729-5239
MAIN COMPANY WEBSITE	http://www.rmisinc.com

Addresses

PHYSICAL ADDRESS	155 North Michigan Avenue Unit 375 Chicago, IL 60601-7578 [map]
MAILING ADDRESS	155 North Michigan Avenue Unit 621 Chicago, IL 60601-7578 [map]

Business Capabilities

BUSINESS CERTIFIED FOR	NAICS 524298 All Other Insurance Related Activities	
FULL DESCRIPTION OF CAPABILITIES/PRODUCTS	Firm specializes in insurance risk management consulting services NAICS 524298 All Other Insurance Related Activities Firm specializes in insurance risk management consulting services	
COMMODITY CODES	NAICS 524298	All Other Insurance Related Activities (More)

Owner Ethnicity and Gender

ETHNIC GROUP	African American
GENDER	Female
DBE ETHNIC GROUP	Black American

Location

COUNTY	Cook (IL)
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Additional Information

WARD	34
COMMUNITY AREA	32 Loop
QUALIFIED INVESTMENT AREA	No

Certification List

[Customer Support](#)

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