

W Sable

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## SCHEDULE A

### Statement of Proposer Regarding Its MBE/WBE Utilization Plan TO BE COMPLETED BY THE PROPOSER ONLY

Proposer: AECOM Technical Services, Inc.

Project: P-11007-112

Is the proposer a certified MBE/WBE?

MBE: ☐ Yes ☒ No    WBE: ☐ Yes ☒ No

If yes, attach all current Letters of Certification.

#### NOTE:

Certification of the proposer as an MBE satisfies only the MBE goal; the WBE goal must still be met. Certification of the proposer as a WBE satisfies only the WBE goal; the MBE goal must still be met. Certification of the proposer as both MBE and WBE may satisfy one goal only.

The proposer intends to perform work in connection with this project as a:

#### GENDER:

- ☐ Male  
☐ Female

#### RACE/ETHNICITY:

- ☐ Black/African American  
☐ Hispanic American  
☐ Asian American  
☐ White American  
☐ Other \_\_\_\_\_

#### TYPE OF FIRM:

- ☐ Partnership  
☐ Sole Proprietorship  
☐ Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

All MBE/WBE firms included in the following plan must be certified as such by a public or private organization such as the City of Chicago, Cook County-Illinois, the Illinois Department of Central Management Services (CMS), the Metropolitan Water Reclamation District, the Small Business Administration, the Chicago Minority Supplier Development Council (CMSDC), and the Women Business Development Center (WBDC).

### I. Participation of MBE/WBE Firms

In determining the manner of MBE/WBE participation in the performance of this contract, the proposer shall consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services, either directly or indirectly.

- A. If proposer is a joint venturer and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification and a copy of the Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the joint venture.
- B. Proposing MBE/WBE subcontractors/suppliers/consultants to perform work or supply goods or services not directly related to the performance of this contract is considered to be indirect participation.

MBE/WBE Subcontractors/Suppliers/Consultants:

1. Name of MBE/WBE: Environmental Design International Inc.

Address: 33 W. Monroe St., Suite 1825, Chicago, IL 60603

Contact Person: Michael Ring Phone: 312.345.1400

E-mail: mring@envrdesigni.com Fax: 312.345.0529

MBE/WBE Participation: Dollars \$ 25,062.00 Percent: 5.4 %

Will this subcontractor be used for direct or XXX participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

2. Name of MBE/WBE: GSG Consultants, Inc.

Address: 855 W. Adams, Suite 200, Chicago, IL 60607

Contact Person: Scott Letzel Phone: 312.733.6262

E-mail: sletzel@gsg-consultants.com Fax: 312.733.5612

MBE/WBE Participation: Dollars \$ 143,630.00 Percent: 30.9 %

Will this subcontractor be used for direct or iXXt participation? (circle one)

Schedule B and all current certification letters attached? ☒ Yes ☐ No

3. Name of MBE/WBE: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

E-mail: \_\_\_\_\_ Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ \_\_\_\_\_ Percent: \_\_\_\_\_ %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

4. Name of MBE/WBE: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

E-mail: \_\_\_\_\_ Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ \_\_\_\_\_ Percent: \_\_\_\_\_ %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

5. Name of MBE/WBE: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone: \_\_\_\_\_

E-mail: \_\_\_\_\_ Fax: \_\_\_\_\_

MBE/WBE Participation: Dollars \$ \_\_\_\_\_ Percent: \_\_\_\_\_ %

Will this subcontractor be used for direct or indirect participation? (circle one)

Schedule B and all current certification letters attached? ☐ Yes ☐ No

## II. Summary of MBE/WBE Plan:

A. MBE Participation:

MBE Firm Name	Dollar Amount of Participation	Percent Amount of Participation
GSG Consultants, Inc.	\$ 143,630	30.9 %
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
Total MBE Participation:	\$	%

B. WBE Participation:

WBE Firm Name	Dollar Amount of Participation	Percent Amount of Participation
Environmental Design International, Inc.	\$ 25,062.00	5.4 %
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
	\$	%
Total WBE Participation:	\$	%

The proposer designates the following person as its MBE/WBE Liaison Officer:

Serina Ranft, PE Project Manager  
(Name and Title)

(312) 577-7415  
(Phone Number)


Serina.ranft@aecom.com  
(E-mail address)

CONTINUE TO NEXT PAGE

To the best of my knowledge, information and belief, the facts and representations contained in this Schedule are true, and no material facts have been omitted.

Any material misrepresentation will be grounds for terminating any contract that may be awarded and for initiating action under federal or state laws concerning false statements.

Proposer: AECOM Technical Services, Inc.  
(Print or Type Name of Business)

Signature:  Date: 11/28/17  
(Signature of Authorized Officer/Representative)

Name/Title: Michael Adams, Associate Vice President - Environment  
(Print or Type Name and Title of Person Signing Statement)

**NOTE**

If the proposer is a MBE/WBE operating as a joint venture with a non-MBE/WBE firm, then use the signature page that follows instead.

**End of Schedule A**

## SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant  
DO NOT FILL OUT OR SIGN THIS FORM IF YOU ARE THE PRIME SUBMITTER

Project: RFS 11007-112 DuSable Park

From: GSG Consultants, Inc.  
(Name of MBE/WBE Firm)

MBE: ☒ Yes ☐ No  
WBE: ☐ Yes ☒ No

To: AECOM Technical Services, Inc.  
(Name of Prime Contractor-Submitter)

and the Chicago Park District:

The undersigned intends to perform work in connection with the above projects as a:

GENDER:  
☒ Male  
☐ Female

RACE/ETHNICITY:  
☐ Black/African American  
☒ Hispanic American  
☐ Asian American  
☐ White American  
☐ Other \_\_\_\_\_

TYPE OF FIRM:  
☐ Partnership  
☐ Sole Proprietorship  
☒ Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), and the Small Business Administration.

**Attach all current certification letters behind Schedule B.**

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Radiological screening/remediation labor and supplies

The above described performance is offered for the following price and described terms of payment:  
\$143,630

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within (3) three working days of receipt of a signed contract from the Chicago Park District.

Signature:   
(Signature of Owner or Authorized Agent of MBE/WBE)

Date: 11/28/2017

Name/Title: Ala Sassila, PhD, PE, Principal  
(Print or Type Name and Title)

Address: 855 W. Adams Street, Suite 200, Chicago, IL 60607

Telephone: (312) 733-6262

Fax: (312) 733-5612

End of Schedule B



DEPARTMENT OF PROCUREMENT SERVICES  
CITY OF CHICAGO

DEC 21 2016

Guillermo Garcia  
GSG Consultants, Inc.  
855 W. Adams, Suite 200  
Chicago, IL 60607

Dear Guillermo Garcia:

We are pleased to inform you that **GSG Consultants, Inc.** has been recertified as a **Minority-Owned Business Enterprise ("MBE")** by the City of Chicago ("City"). This **MBE** certification is valid until **12/15/2021**; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an annual No-Change Affidavit. Your firm's **annual No-Change Affidavit** is due by **12/15/2017, 12/15/2018, 12/15/2019, and 12/15/2020**. Please remember, you have an affirmative duty to file your **No-Change Affidavit 60 days** prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on **12/15/2021**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five year anniversary date. Therefore, you must file for recertification by **10/15/2021**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **MBE** if you fail to:

- File your annual No-Change Affidavit within the required time period;

- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at [chicagoinspectorgeneral.org](http://chicagoinspectorgeneral.org), or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

**NAICS Code(s):**

- 236220 - Construction management, commercial and institutional building**
- 237310 - Construction management, highway, road, street, bridge**
- 238910 - Soil testing drilling**
- 541330 - Civil engineering services**
- 541380 - Geotechnical testing laboratories or services**
- 541620 - Environmental Consulting Services**
- 541690 - Safety consulting services**

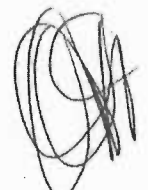
Your firm's participation on City contracts will be credited only toward **Minority-Owned Business Enterprise** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,



Rich Butler  
First Deputy Procurement Officer  
RB/sg



## SCHEDULE B

Statement of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant  
DO NOT FILL OUT OR SIGN THIS FORM IF YOU ARE THE PROPOSER

Project: P-11007-112

From: Environmental Design International, Inc. (EDI)  
(Name of MBE/WBE Firm)

MBE: X Yes ☐ No  
WBE: X Yes ☐ No

To: AECOM Technical Services, Inc. and the Chicago Park District:  
(Name of Proposer)

The undersigned intends to perform work in connection with the above projects as a:

GENDER:  
☐ Male  
X Female

RACE/ETHNICITY (CHECK ONE):  
X Black/African American  
☐ Hispanic American  
☐ Asian American  
☐ White American  
☐ Other \_\_\_\_\_

TYPE OF FIRM (CHECK ONE):  
☐ Partnership  
☐ Sole Proprietorship  
X Corporation  
☐ Joint Venturer  
☐ Other \_\_\_\_\_

The MBE/WBE status of the undersigned is confirmed by the attached current Letters of Certification from public or private entities such as the City of Chicago, Cook County, the Chicago Minority Supplier Development Council (CMSDC), the Women's Business Development Center (WBDC), Illinois Department of Central Management Services (CMS), The Metropolitan Water Reclamation District of Greater Chicago, and the Small Business Administration (SBA).

**Attach all current certification letters behind Schedule B.**

The undersigned is prepared to provide the following services or supply the following goods in connection with the above project/contract:

Professional Land Survey Services, Radiological Screening and Labor field support

The above described performance is offered for the following price and described terms of payment:

\$25 062

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.



## SCHEDULE B

The undersigned will enter into a written agreement for the above work with you as prime contractor, conditioned upon your execution of a contract with the Chicago Park District, and will do so within three (3) working days of receipt of a signed contract from the Chicago Park District.

Signature:  Date: November 28, 2017  
(Signature of Owner or Authorized Agent of MBE/WBE)

Name/Title: Leslie J. Sawyer, CEO  
(Print or Type Name and Title)

Address: 33 West Monroe Street, Suite 1825, Chicago, IL 60603

Telephone: 312.345.1400 Fax: 312.345.0529

End of Schedule B



DEPARTMENT OF PROCUREMENT SERVICES

CITY OF CHICAGO

**NOV - 7 2016**

Ms. Leslie J. Sawyer  
Environmental Design International, Inc.  
33 West Monroe Street, Suite 1825  
Chicago, IL 60603

Dear Leslie J. Sawyer:

**REVISED**

We are pleased to inform you that **Environmental Design International, inc.**, has been recertified as a **Minority-Owned Business Enterprise ("MBE")** and **Women-Owned Business Enterprise ("WBE")** by the City of Chicago ("City"). This **MBE/WBE** certification is valid until **9/15/2019**; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an annual No-Change Affidavit. Your firm's **annual No-Change Affidavit** is due by **9/15/2017, and 9/15/2018**. Please remember, you have an affirmative duty to file your **No-Change Affidavit 60 days** prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on **9/15/2019**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five year anniversary date. Therefore, you must file for recertification by **7/15/2019**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a **MBE/WBE** if you fail to:

NOV - 7 2016

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at [chicagoinspectorgeneral.org](http://chicagoinspectorgeneral.org), or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

**NAICS Code(s):**

**541330 - Engineering Services**

**541350 - Building Inspection Services**

**541370 - Surveying and Mapping Services (except geophysical)**

**541620 - Environmental Consulting Services**

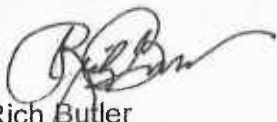
**561210 - Facility Support Services**

**562910 - Remediation Services**

Your firm's participation on City contracts will be credited only toward **Minority-Owned Business Enterprise and Women-Owned Business Enterprise** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,



Rich Butler  
First Deputy Procurement Officer

RB/cm



## SUBCONTRACTOR FORM

Submit the names of all subcontractors your firm intends to utilize for the performance of all subdivisions of work. **NOTE:** 75% is the maximum total that can be subcontracted out by your firm.

SUBCONTRACTOR	WORK/PRODUCT/SERVICE	BUSINESS ORG.	YEAR INCORPORATED	OWNERSHIP INTEREST	MBE OR WBE?	EST. % OF WORK TO BE PERFORMED BY THIS SUB
EXAMPLE XXX Fence Co.	EXAMPLE Temporary fence	EXAMPLE Corp.	EXAMPLE 1989	EXAMPLE John Doe = 100 %	EXAMPLE No	EXAMPLE 7%
Environmental Design International, Inc.	Land Survey, Radiological Screening and Labor	Corp.	1991	Leslie J. Sawyer = 92.78%	MBE/WBE	5.4
GSG Consultants, Inc.	Radiological Screening, Labor and Supplies	Corp.	1992	Guillermo Garcia = 51%	MBE	30.9
TOTAL MUST BE LESS THAN 75%						

## **BE/WBE UTILIZATION REPORTING REQUIREMENTS**

### **CHICAGO PARK DISTRICT**

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#### **CONSTRUCTION CONTRACTS**

The MBE/WBE Utilization Report form will be provided to the awardee with the awardee's executed contract. The awardee's MBE/WBE Utilization Report will be due with each request for payment.

All utilization reports must be accompanied by the following supporting documentation:

1. Signed and notarized waivers of lien
1. Copies of invoices from MBE(s) and WBE(s) for services provided on this contract only
3. Copies of cancelled checks (both sides), wire transfers, and other forms of payments to MBE(s) and WBE(s). These payments must be for services provided on this contract only

**For more information** regarding the supporting documentation requirements, contact Terrence Tounsel, Compliance Officer, at 312-742-4430, [terrence.tounsel@chicagoparkdistrict.com](mailto:terrence.tounsel@chicagoparkdistrict.com)

**Do not submit invoices with the "MBE/WBE Utilization Report".**

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For more information, contact Compliance Officer, at 312-742-4430

